SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number: 3235-0287								
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Title of Security (Instr. 3) 2. Tran			action	2A. Deemed	3.	4. Securities Acquired (A)	or	5. Amount of	6. Ownership	7. Nature of			
		Table I - Non-Deriv	vative	Securities Acq	uired, Dis	posed of, or Benefic	cially (Dwned					
(City)	(State)	(Zip)											
OXFORD,	X0	0X44GE						Form filed by Mor Person	e than One Rep	orting			
(Street)	NO						X	Form filed by One	e Reporting Pers	on			
HEATLEY ROAD, THE OXFORD SCIENCE PARK			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group	Filing (Check A	oplicable			
BLDG													
C/O VACCITECH PLC, THE SCHRODINGER BLDG				9/2021		,		Chief Exec	utive Officer				
(Last) (First) (Middle)		(Middle)	3 Dat	e of Earliest Transa	ction (Month/F)av/Year)	x	Officer (give title below)	Other below	(specify			
Enright Wi	<u>lliam</u>		vace	<u>citech plc</u> [VA			X	Director	10% C	Owner			
1. Name and Address of Reporting Person*				uer Name and Ticke	0	ymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
			or Se	ection 30(h) of the Ir	ivestment Cor	npany Act of 1940							

1. Title of Security (Instr. 3)	2. Transaction 2A. Deemed Date Execution Date (Month/Day/Year) if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Ordinary Shares ⁽¹⁾	04/29/2021		A ⁽²⁾		455,775	Α	(2)	1,199,229	D		

<u></u>															
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/N	ate	d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Share Option (Right to Buy)	\$17	04/29/2021		A		176,130		(3)	04/29/2031	Ordinary Shares ⁽¹⁾	176,130	\$0	176,130	D	

Explanation of Responses:

1. The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.

2. The Reporting Person was previously granted certain performance-based Restricted Share Units ("RSUs"), the vesting of which was subject to the achievement of certain performance criteria. As of the transaction date, the performance criteria were met, resulting in the vesting of 455,775 RSUs.

3. This option shall vest and become exercisable in three equal annual installments, with the first installment vesting on April 29, 2022.

05/04/2021

Date

** Signature of Reporting Person

/s/ William Enright

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.